

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

C. David Chan

Application No.:

Batch No.:

Filed:

For: Method and Apparatus for Recording
Incidents

Notice of Allowance Date:

Group Art Unit:

Examiner:

Class-Subclass:



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JUL 7 1999

GROUP 3600

PATENT APPLICATION TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, District of Columbia 20231

Dear Sir/Madam,

Please file the following enclosed patent application papers of:

Applicant, Name: C. David Chan

Title of Invention: Method and Apparatus for Recording Incidents

- ☒ Specification, Claims and Abstract; No. of Sheets: 15 14^{cdc}
- ☒ Drawing(s); No. of Sheets: 3
- ☒ Information Disclosure Statement and Form
- ☒ Declaration Date Signed: July 7, 1999
- ☒ Statement for "Petition to Make Special"

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☒ Statement Claiming Small Entity Status -- Independent Inventor (PTO/SB/09)

☒ Check for \$395 made payable to Assistant Commissioner of Patents &
Trademarks to cover filing fee as an individual inventor as defined in 3.7 CFR
1.9(c). (Two independent claims and 20 claims. \$395)

☒ Check for \$130 made payable to Assistant Commissioner of Patents &
Trademarks to cover filing fee for "Petition to Make Special".

Respectfully,



C. David Chan

Date:

July 7, 1999

12779 Fair Briar Lane
Fairfax, VA 22033
(703) 322-1705

APPLICANT

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: CHUK DAVID CHAN

Application or Patent No.: _____

Filed or Issued: _____

Title: Method And Apparatus For Recording # Incidents

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

CHUK DAVID CHAN
NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

[Signature]
Signature of inventor

Signature of inventor

Signature of inventor

July 7, 1999
Date

Date

Date